

# Autonomous Robot Control for Underwater Tunnel Mapping

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# 1 Introduction

Underwater robots are a critical topic of current research, bringing the same attention seen by their counterparts on land and air due to their many applications and unique challenges. Figure 1 shows some examples of underwater robots, and highlights a key distinction between robots controlled by human operators (Remotely Operated Vehicles or ROVs) and those who run without human intervention (Autonomous Underwater Vehicles or AUVs). There is a significant effort to increase autonomy across robotic platforms because this allows the robot to operate in more diverse conditions, such as longer distances from humans, in poor visibility, and for longer periods of time.



(a) A Remotely Operated Underwater Robot (ROV).  
[15]



(b) An Autonomous Underwater Vehicle (AUV)<sup>1</sup>.

Figure 1: Two examples of underwater robots. The one on the left (used in this project) was designed as a Remotely Operated Vehicle (ROV) to be manually controlled a driver. The one on the right is an Autonomous Underwater Vehicle (AUV), designed to operate without constant intervention or control from humans. This distinction between autonomous and remotely controlled is a key classifier of underwater robots.

Wang [14] mentions a number of uses of unwater robotics, including: “scientific (oceanography, geology, geophysics), environmental (waste disposal monitoring, wetland

surveillance), commercial (oil and gas, submerged cables, harbours), military (minehunting, tactical information gathering, smart weapons) and other applications where their endurance, economy and safety can replace divers”. As in other areas, autonomous robots can undertake missions that are too dangerous for humans, reaching greater depths, doing tasks underwater for longer times, and going in places where humans cannot reach. However, Wang also notes that there are difficult problems in both control and sensing to make underwater robots autonomous, such as underactuation and limited sensor information [14].

Navigation and localization are key challenges for making underwater robots autonomous, since traditional methods such as vision and GPS may not be available or viable in underwater environments. To solve these problems, researchers often use “active” mapping techniques, where the controller is designed to guide the vehicle to increase information gain. One such technique is “coastal navigation”, first articulated by Roy et al in 1999 [12], where the robot uses certain features from the environment to navigate (in the same way that sailors use the coast to help localize and guide ships). Keeping the the robot near areas with lots of sensor information (“the coast”) will minimize the probability of getting lost within a known or partially known map of the environment. Roy et. al. separates the task into two parts: modelling the environment based on sensor information and planning trajectories in order to maximize sensor information.

## 1.1 Goal

Active mapping techniques require extraction of salient features, such as walls, from the sensor data in order to make a local map. This is the central challenge of my project. Using noisy sonar readings taken by an underwater robot, I am seeking to extract a model of the

walls in the environment that can be used by an autonomous wall-following controller. To make this model the walls, I need to determine the location of the walls from the sonar data, fit lines to represent these walls, and then produce output values to send to the controller<sup>2</sup>. This process of wall extraction will ideally occur in real time as the robot moves through the an underwater tunnel.

## 1.2 Motivation

The particular application of my project is underwater cistern mapping in Malta using an underwater robot. Malta's underwater tunnel systems and cisterns date back past the middle ages to 300 BCE, so they are of great interest to archeologists [6]. However, because they are under current buildings (such as schools, homes, churches, and businesses) they are only reachable by very narrow and deep well shafts, which are inaccessible to humans but reachable by robot<sup>3</sup>. Previous expeditions in Malta have led to maps of 60 previously unmapped sites, with shapes and sizes varying from small circular chambers to multi-chambered rooms with connecting tunnels [16], [6]. This spring, teams from Princeton and Cal Poly San Luis Obispo mapped an additional 35 sites and added them to the Maltese archeological database [5]. We used a remotely operated vehicle (ROV), shown in figure 2, which we lowered via tether into the cistern in order to make the maps. The experience highlighted the need for greater autonomy of the robot making the maps because of very bad visibility conditions in the cisterns that made it difficult for the operator to navigate. With autonomous navigation, it would be easier to navigate complex cisterns, producing more accurate maps because all

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<sup>2</sup>Another independent work student, Yingxue Li, is working on the controller, which is the other half of this system. Although our projects use the same system and interface with the control values, they are independent pieces of software

<sup>3</sup>Observed by author while doing cistern mapping in Malta 15-24 March 2012

areas of the cistern are adequately explored, and with greater speed, which would allow the researchers to map more cisterns.



(a) Robot used in the Malta expeditions, shown inside a Maltese cistern. This image was taken by a second ROV lowered into the same cistern.



(b) Deployment of the Robot in Mdina, Malta. The robot is down in the cistern at the top of the image (where the yellow tether is emanating from), while the human operator uses the control box. The image also highlights how narrow the cistern shafts are.

Figure 2: Two views of a deployment during the mapping expedition to Malta. The left shows the robot inside the cistern, while the right shows the human operator and the tether coming out of the cistern. Both pictures are from Clark et. al [6].

### 1.3 Prior Work

This work builds upon previous efforts to increase the autonomous capability of the robot used in the Malta exploration. Wang’s work in 2006 and 2007 demonstrated autonomous trajectory tracking for the robot [14], [15]. This means that there is the physical basis for developing an autonomous controller based on sensor data and the motion models that Wang developed. However, Wang used a static underwater acoustic positioning system for his experiments, which is not a feasible method of localization in the field. White et. al. and Hirandani et. al. both discuss an attempt at Simultaneous Localization and Mapping (SLAM), a popular autonomous navigation technique, for the Malta project, but they were unable to get their solutions to run in real time and the Malta mapping researchers currently

use entirely manual modes of controlling the robot [16], [7].

White et. al. and Hiranani et. al. based their work on marina mapping done by Ribas et. al. in Spain [9], [10], [11]. In their work they present a system for underwater mapping of “partially structured environments”, which they describe as ”dams, ports or marine platforms” [9]. The system uses sonar scans to run a SLAM algorithm, similar to what White et. al. and Hiranani et. al. present. However, Ribas et. al. do much more complicated pre-processing of the sonar data before sending it to the SLAM algorithm, including compensation for vehicle motion, segmenting into features using a Hough transform, and then extracting lines from the features [10]. This is a very similar challenge to the goal of this project, but the vehicle compensation Ribas et. al. present uses trajectory information that is infeasible with the sensor information available on the Malta robotic system. Specifically, they have much more accurate position information than can be achieved with the Malta exploration, since we do not have a map or any knowledge of the environment prior to exploration. The additional information allows Ribas et. al. to use algorithms of significantly more complexity than may be necessary for the challenge that this project addresses. However, the experimental success of their system [11] suggests that an adapted version can provide a benchmark to testing implementations of this project.

This project also uses investigations of feature extraction algorithms not previously applied to underwater robotics. For example, Siegwart et. al. present general line fitting algorithms based on least squares error [13], [8], [1]. Chiu developed a clustering algorithm which does not require advanced knowledge of the number of clusters [3], [4]. Prior work in these areas is discussed in depth in the relevant sections: Section 5 for line fitting and Section 6 for clustering.

## 2 Problem Formulation

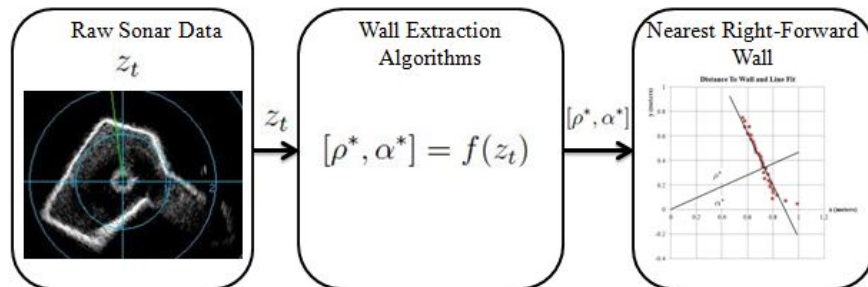


Figure 3: Generalized flow chart of the program. The inputs to the code are the noisy raw sonar measurements  $z_t$ , and then the wall extraction algorithm produces the outputs  $[\rho^*, \alpha^*]$ , which describe a line segment in the first quadrant of the sonar reading, which will be sent to the controller to determine where the robot should go next.

Figure 3 shows how the developments presented in this paper (the center box in the figure) fit into the larger portion of the code. Given noisy raw sonar measurements  $z_t$ , the wall extraction algorithm must filter out the true locations of the walls and then fit a line to them. Then it will return the location of closest wall on the front right side of the robot as information for the controller.

### 2.1 Hardware Description and Control Flow

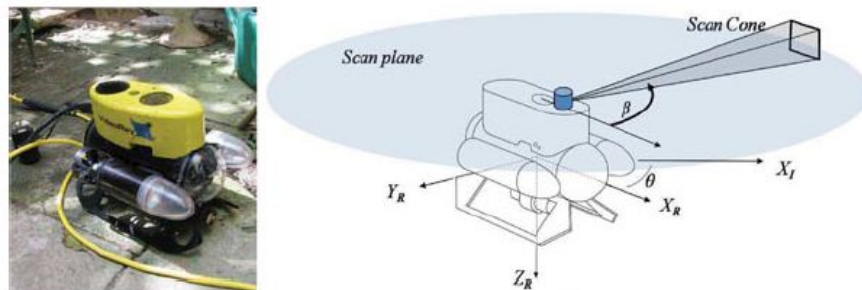


Figure 4: Picture of the VideoRay Robot and diagram of the sonar. Both images are from White et. al [16].  $X_R$ ,  $Y_R$ , and  $Z_R$  show the robot's local coordinate frame, which differs by bearing  $\theta$  from the global coordinate frame. The sonar measurements are calculated from within the robot's coordinate frame. Each cone is sent out at a bearing  $\beta$  from the  $X_R$  axis.

The Malta mapping expeditions and all of the work on this project were done with the VideoRay Pro III Micro ROV, seen in Figure 4. The ROV connects to a control box, shown in Figure 5 via a tether (yellow). All sensor information from the ROV is processed in either the control box or an adjoining computer, and all control signals are produced from either the control box or computer and sent down the tether to the ROV.

The sensor information available from the robot is compass information  $z_{compass}$  and data from the sonar, which is mounted above the robot as shown in the right side of Figure 4. The sonar operates by rotating through a circle and at each bearing, sending out a sound wave that spreads out over a cone-shaped area as it travels and measuring the intensity of the waves reflected back. Based on time of flight, the sonar determines the distance that corresponds to the reflected intensity:  $d = \frac{c \cdot t}{2}$ , where  $c$  is the speed of sound and  $t$  is the round-trip time the sound takes between when it is emitted from the sonar and when it returns. The sonar fills in a series of bins with the intensities at different distances. Therefore, at each bearing  $\beta$  where the sonar takes a measurement  $z_t$ , there are a series of readings  $s_b$  at different distances and intensities (where  $B$  is the total number of bins), as shown in equation 1.

$$z_t = [\beta \ s_0 \ s_1 \ \cdots \ s_{B-1}] \quad (1)$$

The sonar is by default calibrated to take measurements every 32 steps out of 6400 total in a circle. This resolution can be increased or decreased using the sonar control software during runtime, so the code does not make any assumptions about the frequency of the sonar information.

From the software development perspective, the Malta exploration project has a library





Figure 5: Control box for the VideoRay ROV. Image courtesy of Zoë Wood. [17]

of code from the previous years and iterations of the project. This meant that functions event handlers for new sonar data that handled low-level reading in of the sonar input into the bins  $s_b$  were already present, and once they were found among the inconsistently documented code, they provided a framework within which the abstraction of the flow in Figure 3 could be implemented. Because of the size of the existing code, and the litany of other projects in some stage of completion, there were significant design pressures to be as clear and modular with the algorithms developed for this project.

## 2.2 Issues Discussion

There are a number of challenges in developing an algorithmic solution that is in line with the physical constraints of the robotic system. These vary from complicated set-up and testing procedures that had to be mastered before development could begin [17], to more mathematical challenges. An example of the former was reading continuous sonar data from the robot onto a computer, which required creating and re-enabling some missing software and procuring hardware converters that would not freeze and buffer the data. This data was necessary to run and test the algorithms developed in this project, so ensuring that everything worked at the hardware / software interface was a critical starting component of the project.

A more algorithmic problem that was highlighted repeatedly during field tests of the

robot in Malta was the unknown and variable nature of the robot's position and heading. By the time the sonar has completed a scan, the robot might have turned a quarter of a circle, meaning that without keeping track of sonar readings (measured local to the robot as indicated in Figure 4) in a global coordinate system, any variation in the robot's heading would affect the accuracy of the results. For example, the exact same piece of wall could be measured twice in the same sonar scan if the robot is turning in a direction opposite the sonar. Two measurements of the same global bearing must be mapped on top of each other in order to make the line fitting viable. Figure 6 illustrates this mapping. The global coordinate system sets its axes based on compass values. The compass reading  $z_{compass}$  gives the heading of the robot, which then can be added to the sonar bearing  $\beta$  to give a reading that is in the global coordinate frame.

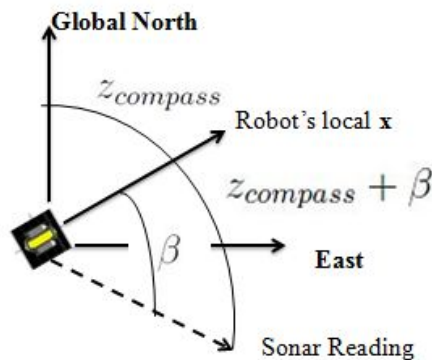


Figure 6: Conversion between global and local coordinate systems for keeping track of data. The full axes shown are the global coordinate system, which sets its  $x$  and  $y$  axes based on compass values. Since the robot is rotated in the water, its  $x$  axis is off from the true axis by the value of  $z_{compass}$  which, based on the symbols in Figure 4 is  $90 - \theta$ . However the sonar bearing  $\beta$  is calculated with respect to the robot's local coordinate axis and must be converted to the global system.

Figure 7 shows two sonar readings from neighboring bearings. The true location of the wall is around .9 meters, which is the location of the highest intensity readings. The figure

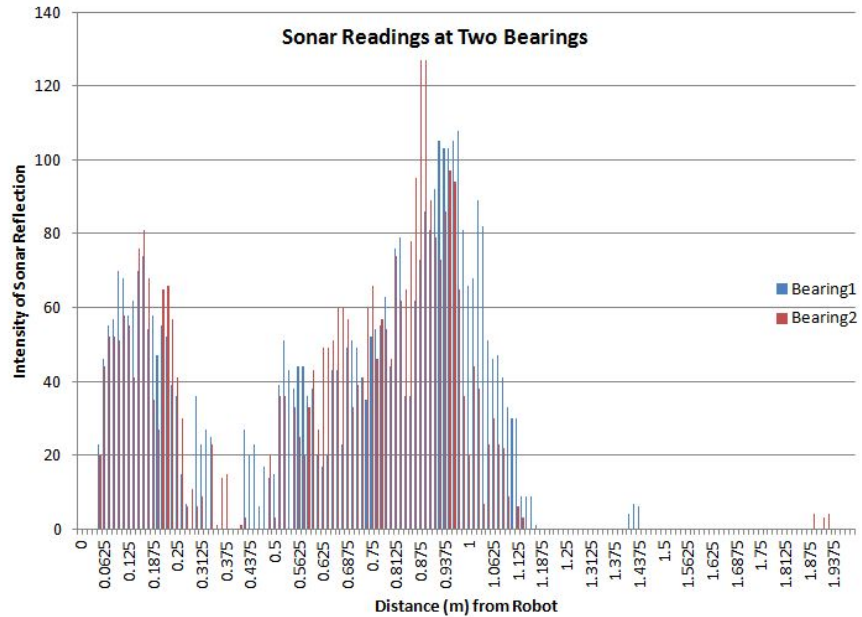


Figure 7: Plot of the sonar intensities at all the distances for two neighboring bearings. The true location of the wall is around .9 meters, which is the location of the highest intensity readings.

also indicates the various kinds of error that must be dealt with when filtering the distance of the wall out of the sonar readings.

- There is inconsistency and background noise throughout the data.
- In both sets there is an extra fairly substantial peak very close to 0.0m due to properties of the sonar. This is present in every reading and does not reflect a true wall.
- There could also be other additional peaks, such as the peak around 1.0m in Bearing1. This might be because of sound reflecting off multiple smooth surfaces and eventually returning to the robot. For example, if the sound bounces diagonally off a wall onto another wall and then back before returning to the robot, the robot will assume that the first wall is further away than it actually is because of the long time of flight caused by multiple reflections. Although this is not as large of an issue in the underwater

tunnels in the field, when the walls are really smooth such as in a pool, the presence of multiple reflections can really distort the data.

- In addition, the process of collecting a sonar reading is slow: it takes about 10 seconds to collect a full circle of readings, so the reading at each bearing  $\beta$  will be refreshed at best every 10 seconds. Error in sending the data from the sensor might make the refresh time even longer. If the robot is rotating, the step in the sonar might mean that particular bearings have a refresh time that is significantly lower than 10 seconds, although neighboring bearings have been updated.

These kinds of error make efficient filtering for the wall position challenging. Ideally, the robot would only have to look through the bins once to process the data and accurately determine the distance to the wall at each bearing.

Additionally, even with perfect processing, there are outliers in the sonar data, where something in the environment (for example a rock) caused the peak intensity to occur at a position before the wall. This is why the robot cannot just search for and return the nearest wall position in the correct quadrant. Instead the processes must be implemented to increase robustness and reduce error.

### 2.3 Problem Definiton

The wall filtering algorithm must find  $(\rho_\beta, \alpha_\beta)$  that best represents the distance of the wall at bearing  $\beta$ . Once all wall points in a window  $w$  are found, the wall must be modeled by fitting a line to those points. The line is repressed by  $\rho$ , the shortest distance from the robot to the line, and  $\alpha$ , the angle from the robot to this point of shortest distance, so fitting requires finding parameters  $\rho, \alpha$  such that all points  $(r, \theta)$  on the line satisfy equation 2.

$$r = \frac{\rho}{\cos(\theta - \alpha)} \quad (2)$$

After any additional processing to reduce error, the algorithm must output  $[\rho^*, \alpha^*]$  that correspond to the closest line in the correct quadrant for the controller. Figure 8 shows the individual points  $(\rho_\beta, \alpha_\beta)$  that are fit into a line to produce a  $[\rho, \alpha]$ , which in this case ultimately becomes the output  $[\rho^*, \alpha^*]$ .

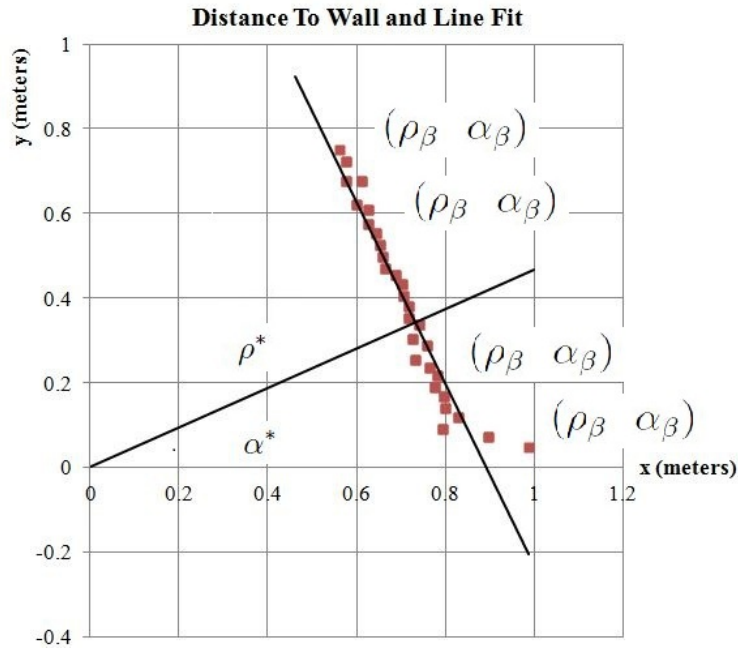


Figure 8: Raw wall positions  $(\rho_\beta, \alpha_\beta)$  for each bearing  $\beta$  and line fitting in the global coordinate system.

### 3 Overview of Components

The following sections will outline the individual components of the wall extraction algorithm, which fit together as shown in Figure 9. From the raw sonar measurements  $z_t$  and the heading measurement  $z_{compass}$  that are the input to the algorithm, the measurements are first filtered to give a single wall position for each bearing in the global coordinate system

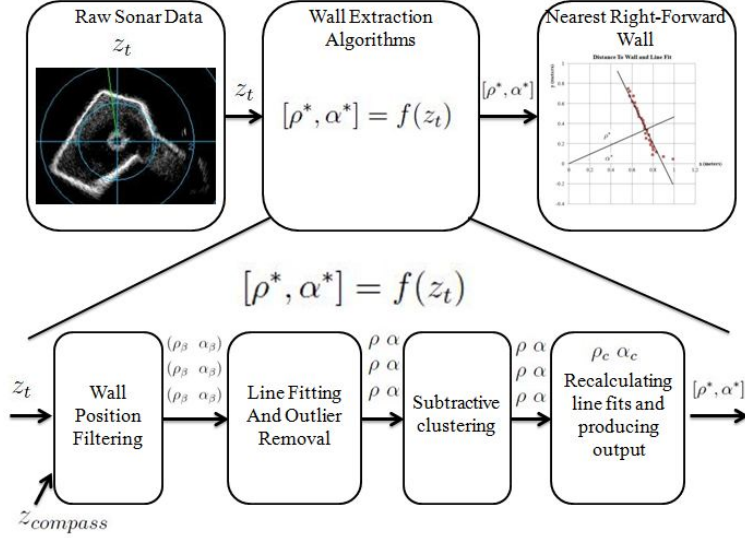


Figure 9: Components of the wall extraction algorithm include finding the wall position (which produces the  $\rho_\beta, \alpha_\beta$  pairs), producing initial windowed line fits with outlier removal (the  $\rho, \alpha$  pairs), subtractive clustering, and then refitting the lines (producing a single  $\rho_c, \alpha_c$  for each cluster) before calculating the output  $\rho^*, \alpha^*$ .

where there is information, producing  $\rho_\beta, \alpha_\beta$  pairs for each bearing  $\beta$ , where  $\alpha_\beta$  is just  $\beta$  converted from the sonar indexing system (which goes from 0 to 6400) into radians. Then one of two line fitting algorithms are used to fit lines to small windows around each point of the data, producing  $\rho, \alpha$  pairs that describe the line going through each point. These lines are checked for outliers, and then clustered, and then re-fit to produce a single  $\rho_c, \alpha_c$  based on the larger window of the entire cluster. The nearest  $\rho_c, \alpha_c$  in the correct quadrant are then selected as  $[\rho^*, \alpha^*]$ .

## 4 Wall Filtering

As discussed in section 2.1, the sonar produces an array of intensities for every bearing, with each bin in the array corresponding to a different distance. High intensities indicate the presence of a wall or other object causing a reflection. The location of the wall is modeled as

the cluster of the highest intensity of points. Requiring a cluster (set to size 3 by empirical investigation with played-back and live data) makes the filtering process more robust against noise. Algorithm 1 a linear time algorithm for finding this wall cluster by using a moving threshold. When a cluster of size 3 is found, the threshold is increased to 5 more than the current maximum value seen. By using the threshold as well as just saving the maximum, the algorithm ensures that the entire cluster is dealt with easily.

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**Algorithm 1**  $[\rho_\beta, \alpha_\beta] = z_t$

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1: threshold  $\leftarrow$  50, maxVal  $\leftarrow$  55, wallCount  $\leftarrow$  0
2: for  $b = 0 \dots \text{numBins}$  do
3:   if intensity[ $b$ ]  $\geq$  threshold then
4:     if intensity[ $b$ ]  $>$  maxVal then
5:       maxVal  $\leftarrow$  intensity[ $b$ ]
6:     end if
7:     wallCount  $\leftarrow$  wallCount + 1
8:   else
9:     wallCount  $\leftarrow$  0
10:  end if
11:  if wallCount  $\geq$  3 then
12:    Save  $b$  as current wall candidate
13:    threshold  $\leftarrow$  maxVal + 5
14:    wallCount  $\leftarrow$  0
15:  end if
16: end for

```

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A few optimizations to the algorithm were necessary based on the constraints of the hardware. For example, as can be seen in 7, due to properties of the sonar, the scan has a high peak in some bins close to 0. On scans where there is no wall, this peak near 0 would get interpreted as a wall. Therefore, bins under .3 meters from the robot are ignored entirely, and scanning begins after that. Additionally, if no wall is found, the algorithm sets the wall distance to 9999, which is the indicator value for no data. Since rotation of the robot will cause any given bearing to have valid data at any time, this ensure that later algorithms

such as the clustering algorithm can iterate through the entire range of bearings without concern of including invalid data.

## 5 Line Fitting

This project investigated two methods for line fitting. The first method is based on the work of Siegwart et. al., Nguyen et. al. and Arras and Siegwart who all discuss variations on a general line fitting algorithm for polar coordinates [13], [8], [1] which they applied to the general problem of mobile robotics. Essentially, they present least squares error minimization in the polar space. The second method is a modification of Siegwart’s least squares idea to just calculate the least squares error in X-Y coordinates. This removes the problem of having the two measurements be in different units, which can affect the error calculations.

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**Algorithm 2**  $[\rho, \alpha] = \text{Line\_Fitting}([\rho_\beta, \alpha_\beta])$

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**Require:**  $0 \geq \text{end} \geq \text{maxBearings}$

- 1:  $\rho \leftarrow 9999, \alpha \leftarrow 9999$
  - 2:  $w \leftarrow [\rho_i \alpha_i]$  for  $i = \text{end} - \text{WindowSize} : \text{end}$
  - 3:  $[\alpha_{\text{line}}, \rho_{\text{line}}, R_{\text{line}}] \leftarrow \mathbf{LineFit}(w)$
  - 4: **if**  $R_{\text{line}} < T_R$  **then**
  - 5:      $\alpha \leftarrow \alpha_{\text{line}}$
  - 6:      $\rho \leftarrow \rho_{\text{line}}$
  - 7: **end if**
  - 8: Return  $[\rho, \alpha]$
- 

The general outline of both line fitting algorithms is shown in Algorithm 2. First, a window of a certain number of consecutive readings in the same neighborhood is created. For any small window  $w$ , there is a good chance that all the points  $(\rho_\beta, \alpha_\beta)$  in  $w$  are on the same line. Then, if using Siegwart’s polar method,  $\alpha$  and  $\rho$  are calculated as shown in equations 3 and 4 using the equations presented by Siegwart et. al. [13].



$$\alpha = \frac{1}{2} \tan^{-1} \left( \frac{\sum \rho_i^2 \sin 2\alpha_i - \frac{2}{\|w\|} \sum \sum \rho_i \rho_j \cos \alpha_i \sin \alpha_j}{\sum \rho_i^2 \cos 2\alpha_i - \frac{1}{\|w\|} \sum \sum \rho_i \rho_j \cos(\alpha_i + \alpha_j)} \right) \quad (3)$$

$$\rho = \frac{\sum \rho_i \cos(\alpha_i - \alpha)}{\|w\|} \quad (4)$$

where in both equations  $\|w\|$  refers to the number of elements in the window  $w$ .

In the XY method, the  $\rho_\beta, \alpha_\beta$  are converted to  $(x, y)$  coordinates, and standard least-squared procedures for finding the slope and constant of a line are implemented. These are then converted back to  $\rho, \alpha$  to produce the same format of output in both methods.

Finally, the resulting line is checked for outliers and reset if outliers are present. To calculate outliers, the expected location of each wall point  $(r, \theta)$  is calculated using equation 2 and then converted to  $(x, y)$  coordinates for least-squares error checking. If the error of a single point or the average squared error of all the points are too high, the line is reset, and if a single point contributes too much error it is also reset.

## 6 Clustering

Although the windowing method for line fitting presented in 5 produces locally accurate lines, choosing any of those lines as the representative of a longer line results in a lot of information loss due to the other points on that line that were not in the window. Given the variation in the estimated  $\rho, \alpha$  by both line fitting algorithms due to the noise in the data and the small size of the window, it is necessary for stability and accuracy to expand out from the windowed line fits to include more data. This section describes a subtractive clustering method which does just that.

Subtractive clustering was first presented by Chiu [4] [3] to provide a better-performance alternative to a similar type of clustering algorithm. This implementation adopts Chiu’s algorithm but changes some of the parameters. Algorithm 3 shows the outline of the subtractive clustering algorithm. Three constant parameters are tunable for different results: the starting radius, the radius increment and the percentage of the potential that serves as the cut-off. Chiu [3] suggests a radius increment of 1.25 and a cut-off of .15, while Chen more recently [2] suggested 1.5 for the increment and .25 for the cut-off. These numbers produced more accurate experimental results on trial datasets, so the code is currently implemented using Chnen’s numbers.

The key nuance of Chiu’s algorithm is the calculation of the potential, occurring in this algorithm on lines . Initially, the potential of each point  $P_i$  is set as given by equation 5, which is essentially a measurement of how many near neighbors each data point has. Then, on each subsequensnt iteration, each  $P_i$  is adjusted by subtracting out a factor related to its nearness to the previous cluster as shown in equation 6, to avoid having closely spaced cluster centers.

$$P_i \leftarrow \sum_{j=1}^n e^{\frac{-\|x_i - x_j\|^2}{(radius^2/4)}} \quad (5)$$

$$P_i \leftarrow P_i - PrevCenterPotential * e^{\frac{-\|x_i - x_j\|^2}{(radius^2/4)}} \quad (6)$$

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**Algorithm 3**  $clusters = Cluster([\rho, \alpha])$ 

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```
1: Bound the  $x$  and  $y$  coordinates produced from data inside a unit hypercube
2:  $radius \leftarrow .05, maxPotential \leftarrow 0, clusterNum \leftarrow 1, currentCenterCandidate \leftarrow 9999$ 
3: for  $i : 0 \dots numBearings$  do
4:   Calculate  $P(x_i)$  using equation 5
5:   if  $P(x_i) > maxPotential$  then
6:      $maxPotential \leftarrow P(x_i)$ 
7:      $currentCenterCandidate \leftarrow i$ 
8:   end if
9: end for
10:  $firstMaxPotential \leftarrow maxPotential$  [note: for use in cutoff later]
11: for  $i : 0 \dots numBearings$  do
12:   if  $\rho_i, \alpha_i$  is within  $radius$  of  $currentCenterCandidate$  then
13:      $clusters_i = clusterNum$ 
14:   end if
15: end for
16:  $radius \leftarrow radius * 1.5, clusterNum \leftarrow clusterNum + 1$ 
17: while  $maxPotential > firstMaxPotential * .25$  do
18:   for  $i : 0 \dots numBearings$  do
19:     Calculate  $P(x_i)$  using equation 6
20:     if  $P_i > maxPotential$  then
21:        $maxPotential \leftarrow P_i$ 
22:        $currentCenterCandidate \leftarrow i$ 
23:     end if
24:   end for
25:   for  $i : 0 \dots numBearings$  do
26:     if  $\rho_i, \alpha_i$  is within  $radius$  of  $currentCenterCandidate$  then
27:        $clusters_i = clusterNum$ 
28:     end if
29:   end for
30:    $radius \leftarrow radius * 1.5, clusterNum \leftarrow clusterNum + 1$ 
31: end while
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## 7 Experiments and Results

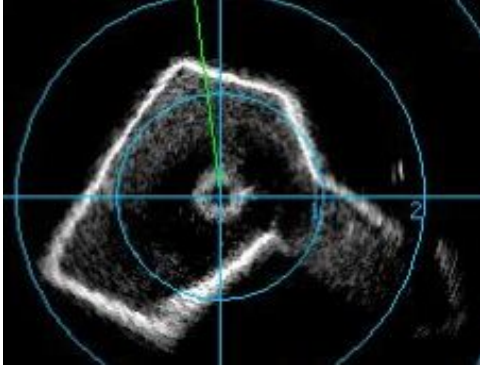
The various components outlined in the previous sections were developed iteratively to allow for greater testing in such a complicated system. In order to run experiments, a significant amount of logging code was written at each component of Figure 9 to record raw sonar values, intermediate outputs from each function, and final output values from the wall extraction algorithm. The code was then run both on saved sonar data from Malta expeditions to evaluate accuracy and live on the robot, both in Malta and in Princeton’s DeNunzio pool. Although the pool has different sonar characteristics than a cistern due to its smooth walls and regular rectangular shape, it did provide a feasible venue for evaluating the performance of the code after returning from Malta.

Qualitatively, the live tests indicated that the hardware and software interface challenges had been successfully overcome, with the robot responding to the control signals sent by the algorithms presented in the paper. Additionally, both live and with the played-back data there was no indication of lag or slow-down in the code due to the extra processing, even when the playback speed was the fastest possible or the live data was switched to the high-speed or high-resolution settings.

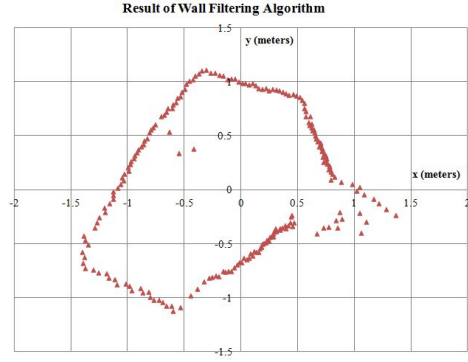
For consistency, the rest of the analysis in this section uses a data set recorded in a cistern under a cathedral sacristine in Mdina, Malta in 2009<sup>4</sup>. The raw sonar scan is shown in Figure 10a and the result of the wall filtering algorithm is shown in Figure 10b. The filtering algorithm accurately finds the walls for every bearing where there is a scan and does so in linear time.

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<sup>4</sup>The sonar log file is `Thu_03_Mar_14_27` for anyone seeking to replicate these experiments.



(a) The raw sonar scan of one of the 2009 Mdina Cathedral Sacristine readings, viewed from the SeaNet Pro software that acts as the intermediate between sonar data and the input to the program running the robot.

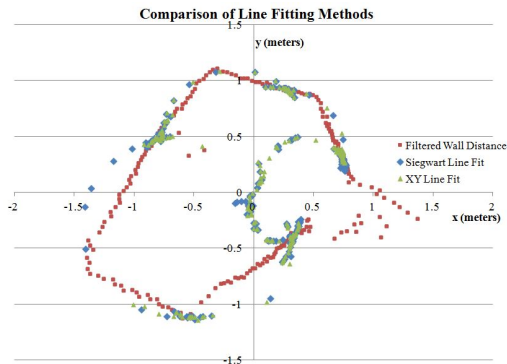


(b) The result of the wall filtering algorithm. The shapes and distances both match the locations of high intensity visible from visual inspection of the raw data playback, and except for a few outliers that result in the data, give a good foundation for line fitting.

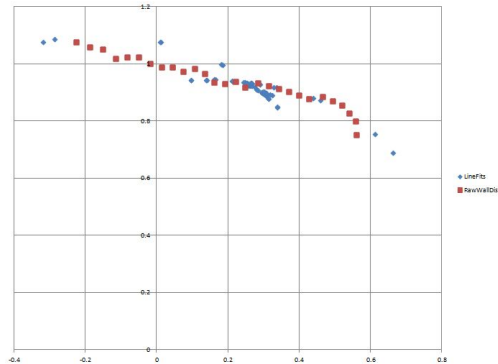
Figure 10: Raw sonar data and the results of the wall filtering algorithm.

Figure 11 shows the results of the line fitting before outlier removal. In general the output of the line fitting algorithm is clustered on each line at the location where the perpendicular emanating from the origin would hit. However, there are a significant number of inaccurate points near the origin, which are nearly all caused by either the outlier points on the left or (mostly) the data on the right where there is not a smooth line. This indicates the success of the line fitting methods but also the need for outlier removal. Additionally, in Figure 11a the two line fitting methods (Siegwart et. al. and XY least squares) are compared against each other, with Siegwart et. al. in blue and XY least squares in green. The points produced by the two methods are nearly identical, with all the clusters as well as the inaccurate data in the middle present in both methods. In fact, many of the selected data points are identical. This indicates that both methods of line fitting are likely to produce a good result, although testing with the hardware should verify this.

Finally, Figure 12 shows the results of line-fitting on the clusters produced by the clus-



(a) The result of running both line fit algorithms on the same scan. The wall distances produced by the wall filtering algorithm are in red. The output of the Siegart et. al. method is in blue and the output of the XY least squares method is in green.



(b) One wall of the scan, highlighting the tight clustering of points produced by the line fitting algorithm and the accuracy with which they are located at the perpendicular to the line of wall distances. The outputs of both line fitting methods are represented among the blue points.

Figure 11: Raw sonar data and the results of the wall filtering algorithm.

tering algorithm instead of just the short windows used for the original line fitting. The outlier removal, subtractive clustering algorithm, and re-fitting of the lines has caused the promising but slightly inaccurate line fits  $(\rho, \alpha)$  shown in Figure 11 to become the accurate clustered line fits  $(\rho_c, \alpha_c)$  shown in Figure 12, with a single point  $(\rho_{c_i}, \alpha_{c_i})$  representing each one of the walls visible in the raw sonar scan.

## 8 Conclusions and Future Work

The goal for this project was to create model of the walls in an underwater tunnel environment using noisy sonar readings from an underwater robot, in a reasonably accurate manner in real time. Based on the framework and experimental results presented above, the wall extraction algorithms have fulfilled the goal and provided a solution to the problem set out in section 2. The solution transformed noisy raw sonar data into clustered line estimations in real time in a way that verifiably matches scans produced in the field. Figure 12 shows

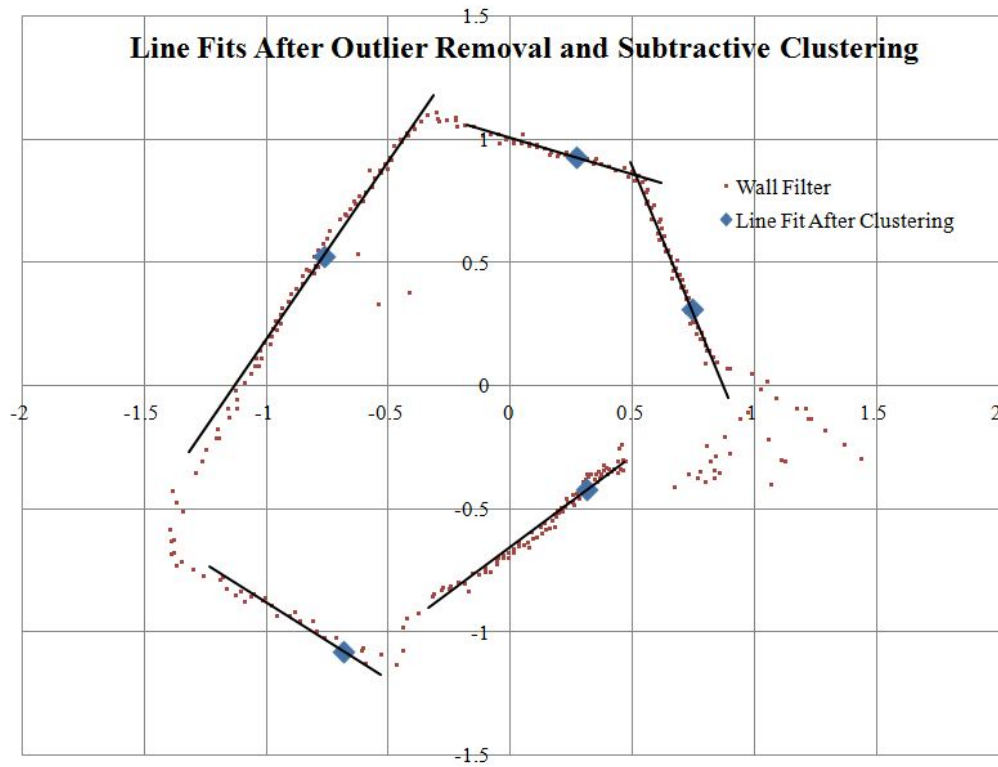


Figure 12: The final result of the wall extraction algorithm, right before the single output is sent back to the controller. The red is still the output of the wall filtering algorithm, and the blue is the collection of  $\rho_c, \alpha_c$  produced after applying the line fitting to the entirety of the clusters that resulted from the clustering algorithm.

the results of the wall extraction algorithm on the raw sonar data shown in Figure 10a. The noise has been removed and each wall has been identified with a point  $(\rho_c, \alpha_c)$  that represents its perpendicular. To produce the final output  $(\rho^*, \alpha^*)$  shown in the flow chart in Figure 3, the algorithm would simply select the point  $(\rho_{c_i}, \alpha_{c_i})$  in the first quadrant that is nearest the origin.

Future work should focus on additional field experiments to ensure that all parameters are tuned for optimum accuracy. All of the components of the wall extraction algorithm rely on fixed parameters: the initial threshold in wall filtering, the window size in the line fitting algorithms, the criteria that indicate an outlier, and the radii and cut-off points in the subtractive clustering algorithm. Although some optimization and tuning was done to all of these, with additional data sets could improve them. This would increase the accuracy and prevent false clusters formed by outliers. Another interesting area of future work would be including weights based on distance. Siegwart et. al's original equations include factors for the weight of each point, and Chen [2] presents a variation on subtractive clustering that also incorporates weights. Finally, as is the case with any robotics project, abundant amounts of testing with the hardware is necessary. The code is set up to integrate with the controller, but due to lack of time in Malta it has not yet been deployed in a cistern. Hopefully next year's Malta team will be able to perform additional field tests with the finished algorithm, producing more accurate cistern maps than can be achieved with human operators!



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## Honor Code Statement

This paper represents my own independent work in accordance with University regulations.  
Anna Kornfeld Simpson, May 8, 2012